IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 108.236.119)

Applicant or

Patentee:

COLUCCI et al

Serial No.

09/476,485

Filed or

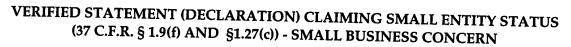
Issued:

December 30, 1999

For:

PROGENITOR CELL PRESERVATION FACTORS AND METHODS FOR AND

PRODUCTS OF THEIR USE



I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: ADDRESS OF SMALL BUSINESS CONCERN:

Phylogix LLC

c/o Foundation for Blood Research

69 US Route One

Scarborough, ME 04074

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.12 and reproduced in 37 C.F.R. §1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the business concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.

I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

PROGENITOR CELL PRESERVATION FACTORS AND METHODS FOR AND PRODUCTS OF THEIR USE

by the inventors, M. Gabriella Colucci, Maarten J. Chrispeels and Jeffrey G. Moore, described in

l j	the specification filed herewith
[X]	Application Serial No. 09/476,485 , filed December 30, 1999
[]	Patent No, issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d), or a nonprofit organization under 37 C.F.R. §1.9(e).

FULL NAME ADDRESS	ImClone Systems Incorporated 180 Varick Street, New York, NY 10014		
[] Individual	[X] Small Business Concern	[] Nonprofit Organization	
FULL NAME ADDRESS	The Regents of the University of California 9500 Gilman Drive, LaJolla, California 92093-0910)	
[] Individual	[] Small Business Concern	[X] Nonprofit Organization	
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. §1.28(b))			
statements were punishable by f. Code, and that s	e that all statements made herein of my own knowled the on information and belief are believed to be true; as the made with the knowledge that willful false statement ine or imprisonment, or both, under Section 1001 of such willful false statements may jeopardize the validation or any patent to which this verified statement	nd further that these nts and the like so made are Title 18 of the United States	
Jeffrey G. Moor	re RSON SIGNING	_	
Président	COOLA SIGNATAG		
TITLE IN ORG	ANIZATION		
7 Pearl Street, K ADDRESS OF	Cennebunkport, ME 04046 PERSON SIGNING		
Signature	e de la conse		